



Gui

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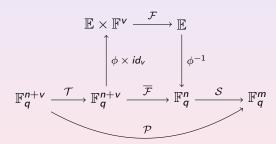
National Institute of Standards and Technology

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Gui Diagram

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Parameters

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- $\mathbb{F} = \mathbb{F}_q$, where $q = 2^e$.
- $\mathbb{E} = \mathbb{F}_q^n$, degree n extension of \mathbb{F} .
- $\phi : \mathbb{F}^n \to \mathbb{E}$, \mathbb{F} -vector space isomorphism.
- D a degree bound, and $r = \lfloor \log_q(D-1) \rfloor + 1$.
- a number of equations removed
- v number of vinegar variables
- k repetition factor
- m = n a number of equations.



Public and Private Keys

Private Key

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- $S: \mathbb{F}^n \to \mathbb{F}^{n-a}$ affine transformation of full rank.
- $\mathcal{T}: \mathbb{F}^{n+\nu} \to \mathbb{F}^{n+\nu}$ invertible affine transformation.
- ullet Central map $\mathcal{F}:\mathbb{E} imes\mathbb{F}^{m{v}} o\mathbb{E}$, defined by

$$\mathcal{F}(X,\overline{v}) = \sum_{0 \leq i \leq j}^{q^i + q^j \leq D} \alpha_{i,j} X^{q^i + q^j} + \sum_{0 \leq i}^{q^i \leq D} \beta_i(\overline{v}) X^{q^i} + \gamma(\overline{v}),$$

where the $\beta_i : \mathbb{F}^{\mathbf{v}} \to \mathbb{E}$ are affine and $\gamma : \mathbb{F}^{\mathbf{v}} \to \mathbb{E}$ is quadratic.

Public Key

$$\mathcal{P} = \mathcal{S} \circ \phi^{-1} \circ \mathcal{F} \circ (\phi \times id_{\nu}) \circ \mathcal{T}.$$



A Relevant Algebra

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Let $\Phi : \mathbb{E} \to \mathbb{A}$ be the representation defined by $\Phi(X) = (X, X^q, \dots, X^{q^{n-1}}).$

WLOG specify ϕ by choosing a primitive element $\theta \in \mathbb{E}$. Define the composition $\Phi \circ \phi$ as right multiplication by

 $\mathbf{M}_{n} = \begin{bmatrix} 1 & 1 & \cdots & 1 \\ \theta & \theta^{q} & \cdots & \theta^{q^{n-1}} \\ \theta^{2} & \theta^{2q} & \cdots & \theta^{2q^{n-1}} \\ \vdots & \vdots & \ddots & \vdots \\ \theta^{n-1} & \theta^{(n-1)q} & \cdots & \theta^{(n-1)q^{n-1}} \end{bmatrix}.$

$$\mathbf{M}_{n} = \begin{vmatrix} \theta^{2} & \theta^{2q} & \cdots & \theta^{2q^{n-1}} \\ \vdots & \vdots & \ddots & \vdots \\ \theta^{n-1} & \theta^{(n-1)q} & \cdots & \theta^{(n-1)q^{n-1}} \end{vmatrix}$$

Then $(\Phi \circ \phi) \times id_{V} : \mathbb{F}^{n+v} \to \mathbb{E} \times \mathbb{F}^{v}$ is given by

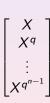
$$\widetilde{\mathbf{M}}_n = egin{bmatrix} \mathbf{M}_n & \mathbf{0}_{n \times v} \\ \mathbf{0}_{v \times n} & I_v \end{bmatrix}$$
 , where I_v is the identity matrix.



HFE Part of Central Map

(Odd Characteristic Case, for Simplicity)

$$\left[X \ X^{q} \ \cdots \ X^{q^{n-1}} \right] \begin{bmatrix} \alpha_{0,0} & \frac{\alpha_{0,1}}{2} & \cdots & \frac{\alpha_{0,r-1}}{2} & 0 & \cdots & 0 \\ \frac{\alpha_{0,1}}{2} & \alpha_{1,1} & \cdots & \frac{\alpha_{1,r-1}}{2} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \frac{\alpha_{0,r-1}}{2} & \frac{\alpha_{r,r-1}}{2} & \cdots & \alpha_{r-1,r-1} & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & 0 & \cdots & 0 \end{bmatrix}$$



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Example

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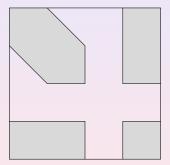


Figure: The shape of the matrix representation of the central map of HFEv- over $\mathbb{A} \times \mathbb{F}^{\nu}$. The shaded areas represent possibly nonzero entries.



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Algorithm 1 GuiKeyGen: Key Generation of Gui

Input: $(q, n, D, a, v), \phi$.

Output: Gui key pair (sk, pk).

- 1: repeat
- 2: $M_S \leftarrow \text{Matrix}(q, n, n)$
- 3: **until** IsInvertible(M_S) == **TRUE**
- 4: $c_S \stackrel{\$}{\leftarrow} \mathbb{F}^n$
- 5: $S \leftarrow Aff(M_S, c_S)$
- 6: $InvS \leftarrow M_S^{-1}$
- 7: repeat
- 8: $M_T \leftarrow \text{Matrix}(q, n+v, n+v)$
- 9: **until** IsInvertible(M_T) ==**TRUE**
- 10: $c_T \stackrel{\$}{\leftarrow} \mathbb{F}^{n+v}$
- 11: $\mathcal{T} \leftarrow \text{Aff}(M_T, c_T)$
- 12: $InvT \leftarrow M_T^{-1}$
- 13: $\mathcal{F} \leftarrow \text{HFEvmap}(q, n, D, a, v)$
- 14: $\mathcal{P} \leftarrow \mathcal{S} \circ \phi^{-1} \circ \mathcal{F} \circ (\phi \times id_v) \circ \mathcal{T}$
- 15: $sk \leftarrow (InvS, c_S, \mathcal{F}, InvT, c_T)$
- 16: pk ← P
- 17: return (sk, pk)





Algorithm 2 GuiSign

Input: Gui private key $(InvS, c_S, \mathcal{F}, InvT, c_T)$, message d, repetition factor k

Output: signature $\sigma \in \mathbb{F}^{(n-a)} + k \cdot (a+v)$

1:
$$\ell \leftarrow \lceil k \cdot \log_2(q) \cdot (n-a)/|\mathcal{H}|$$
.

2:
$$\bar{\mathbf{h}} \leftarrow \mathcal{H}(d) \| \mathcal{H}(\mathcal{H}(d)) \| \dots \| \mathcal{H}^{\ell}(d)$$

3:
$$S_0 \leftarrow \mathbf{0}^{n-a}$$

4: **for**
$$i = 1 \text{ to } k \text{ do}$$

5:
$$\mathbf{d}_i \leftarrow \mathbb{F}^{n-a}!(\overline{\mathbf{h}}_{(i-1)\cdot \log_2 q\cdot (n-a)+1}, \dots, \overline{\mathbf{h}}_{i\cdot \log_2 q\cdot (n-a)})$$

6:
$$(S_i, X_i) \leftarrow \text{InvHFEv} - (\mathbf{d}_i \oplus S_{i-1})$$

8:
$$\sigma \leftarrow (S_k || X_k || \dots || X_1)$$

9: return
$$\sigma$$

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Algorithm 3 InvHFEv-: Inversion of the HFEv- public key

Gui

Input: Gui private key $(InvS, c_S, \mathcal{F}, InvT, c_T), \phi$, vector $\mathbf{w} \in \mathbb{F}^{n-a}$ **Output:** vector $\mathbf{z} \in \mathbb{F}^{n+v}$ such that $\mathcal{P}(\mathbf{z}) = \mathbf{w}$.

1:
$$r_1, \ldots, r_a \stackrel{\$}{\leftarrow} \mathbb{F}$$

2:
$$\mathbf{x} \leftarrow InvS \cdot ((\mathbf{w} || r_1 || \dots || r_a) - c_S)$$

3:
$$X \leftarrow \phi(\mathbf{x})$$

5:
$$\mathbf{v} = (v_1, \dots, v_v) \stackrel{\$}{\leftarrow} \mathbb{F}^v$$

6:
$$\mathcal{F}_V \leftarrow \mathcal{F}(\cdot, \mathbf{v})$$

7:
$$Y \leftarrow \gcd(\mathcal{F}_V(\widehat{Y}) - X, \widehat{Y}^{2^n} - \widehat{Y})$$

8:
$$\operatorname{until} \operatorname{deg}(Y) == 1$$

9:
$$\mathbf{y} \leftarrow \phi^{-1}(\operatorname{root}(Y))$$

10:
$$\mathbf{z} \leftarrow InvT \cdot ((\mathbf{y} || \mathbf{v}) - c_T)$$





GuiVer

Algorithm 4 GuiVer: Signature Verification Process of Gui

Input: Gui public key \mathcal{P} , message d, repetition factor k, signature $\sigma \in \mathbb{F}^{(n-a)+k(a+v)}$

Output: boolean value TRUE or FALSE.

- 1: $\ell \leftarrow \lceil k \cdot \log_2(q) \cdot (n-a)/|\mathcal{H}|$.
- 2: $\overline{\mathbf{h}} \leftarrow \mathcal{H}(d) \| \mathcal{H}(\mathcal{H}(d)) \| \dots \| \mathcal{H}^{\ell}(d)$
- 3: **for** i = 1 to k do
- $\textbf{4:} \quad \textbf{d}_i \leftarrow \mathbb{F}^{n-a}!(\overline{\textbf{h}}_{(i-1)\cdot \log_2 q\cdot (n-a)+1}, \dots, \overline{\textbf{h}}_{i\cdot \log_2 q\cdot (n-a)})$
- 5: end for
- 6: **for** i = k 1 to 0 **do**
- 7: $S_i \leftarrow \mathcal{P}(S_{i+1}||X_{i+1}) \oplus d_{i+1}$
- 8: end for
- 9: **if** $S_0 = 0$ then
- 10: return TRUE
- 11: **else**
- 12: return FALSE
- 13: **end if**



EUF-CMA

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To achieve existential unforgability under chosen message attack, instead of signing on

$$\mathbf{h} = \mathcal{H}(d)$$

they sign on

$$\mathbf{h} = \mathcal{H}(\mathcal{H}(d)||r),$$

for a random salt r of length 128-bits.





Parameters

- **Gui-184** (q, n, D, a, v, k) = (2, 184, 33, 16, 16, 2)
- **Gui-312** (q, n, D, a, v, k) = (2, 312, 129, 24, 20, 2)
- **Gui-448** (q, n, D, a, v, k) = (2,448,513,32,28,2)





	parameters	public key	private key	signature	
	(n, D, a, v, k)	size (kB)	size (kB)	size (bit)	
Gui-184	(184, 33, 16, 16, 2)	416.3	19.1	360	
Gui-312	(312, 129, 24, 20, 2)	1955.1	59.3	504	
Gui-448	(448, 513, 32, 28, 2)	5789.2	155.9	664	

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Gui





Performance - Platform

Processor: Intel[®] XeonTM CPU E3-1225 v5 3.30 GHz (Skylake)

Memory: 64GB (4x16) ECC DIMM DDR4 Synch 2133 MHz

OS: Linux 4.8.5, GCC 6.4





scheme	parameters		key	sign.	sign.
	(n, D, a, v, k)		gen.	gen.	verif.
		cycles	704M	34M	169k
Gui-184	(184, 33, 16, 16, 2)	time(ms)	213	10.4	0.051
		memory	3.5MB	3.4MB	3.3MB
		cycles	4790M	1757M	595k
Gui-312	(312, 129, 24, 20, 2)	time(ms)	1452	532	0.181
		memory	5.4MB	3.6MB	5.0MB
		cycles	32247M	86086M	3385k
Gui-448	(448, 513, 32, 28, 2)	time(ms)	9772	26086	1.025
		memory	9.2MB	10.7MB	8.7MB

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Attack #1 - Brute Force

We may first fix the values of v + a variables and still expect to have a solution to

$$\mathcal{P}(\mathbf{z}) = \mathbf{w}.$$

$$Comp_{\text{brute; classical}} = k \cdot 2^{n-a+2} \cdot \log_2(n-a).$$

$$Comp_{brute:quantum} = k \cdot 2^{(n-a)/2} \cdot 2 \cdot \log_2(n-a).$$

New result: Quantum FXL over GF(2) has complexity $\approx 2^{0.45n}$.





Attack #2 - Direct Attack

A paper by Petzoldt empirically derives a formula for d_{reg} ,

$$d_{reg} = \left\lfloor \frac{a+r+v+7}{3} \right\rfloor,$$

and provides evidence that the "hybrid approach" is ineffective for HFEv-.

$$Comp_{direct; classical} = 2 \cdot k \cdot 3 \cdot {\binom{n-a}{d_{reg}}}^2 \cdot {\binom{n-a}{2}}.$$





Attack #3 - MinRank

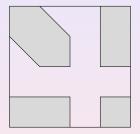


Figure: The shape of the matrix representation of the central map of HFEv- over $\mathbb{A} \times \mathbb{F}^{\nu}$. The shaded areas represent possibly nonzero entries.

$$\operatorname{Rank}\left(\sum_{i}t_{i}D\mathcal{P}_{i}\right)=r+a+v.$$



Attack #3 - MinRank - Complexity

$$\operatorname{Comp}_{\operatorname{MinRank; classical}} = \binom{n+r+v}{r+a+v}^{\omega},$$

where $2 \leq \omega \leq$ 3 is the linear algebra constant.

They choose $\omega = 2.3$ for analysis.

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Attack #4 - Distinguishing Attack

One idea is to select random projections to eliminate linear forms in the vinegar subspace.

This noticably reduces the degree of regularity.

$$\operatorname{Comp}_{\operatorname{Dist;classical}} = 2^{n-k} \cdot 3 \cdot \binom{n+v-k}{d_{reg}}^2 \cdot \binom{n+v-k}{2}.$$

$$\operatorname{Comp}_{\operatorname{Dist;quantum}} = 2^{(n-k)/2} \cdot 3 \cdot \binom{n+v-k}{d_{reg}}^2 \cdot \binom{n+v-k}{2}.$$

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Algorithms
Parameters/Perform
Security Analysis



Attack #5 - Differential Attack

Cartor et al. proved that HFEv- is immune to differential attacks.



Advantages and Limitations

- Very Short Signatures +
- Security +

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- Modest computational requirements +
- Efficiency +
- Large Key Sizes –